



# State of California Secretary of State

## LIMITED LIABILITY PARTNERSHIP ALTERNATIVE SECURITY PROVISION

**IMPORTANT – Read instructions before completing this form.**

This Space For Filing Use Only

1. ☐ INITIAL FILING (No filing fee is required.) ☐ ANNUAL CONFIRMATION (A \$30 filing fee is required.)

### THE UNDERSIGNED HEREBY CONFIRMS THE FOLLOWING:

#### FILE NUMBER, IF ANY

2. CALIFORNIA SECRETARY OF STATE FILE NUMBER, IF ANY

#### ENTITY NAME

3. NAME OF THE REGISTERED LIMITED LIABILITY PARTNERSHIP OR FOREIGN LIMITED LIABILITY PARTNERSHIP

#### JURISDICTION

4. JURISDICTION WHERE THE PARTNERSHIP IS ORGANIZED

#### PRINCIPAL OFFICE ADDRESS (Do not abbreviate the name of the city.)

5. ADDRESS CITY AND STATE ZIP CODE

#### STATEMENT PURSUANT TO **SECTION 16956** (The following statement is required by statute and may not be altered.)

6. The registered or foreign limited liability partnership chooses to satisfy the requirements of section 16956 by confirming, pursuant to section 16956(a)(1)(D), 16956(a)(2)(D), or 16956(a)(3)(D) and pursuant to section 16956(c), that, as of the most recently completed fiscal year, the partnership had a net worth equal to or exceeding ten million dollars (\$10,000,000) in the case of a partnership providing accountancy services, fifteen million dollars (\$15,000,000) in the case of a partnership providing legal services, or ten million dollars (\$10,000,000) in the case of a partnership providing architectural services.

#### EXECUTION

7. I DECLARE I AM THE PERSON WHO EXECUTED THIS INSTRUMENT, WHICH EXECUTION IS MY ACT AND DEED.

SIGNATURE OF AUTHORIZED PERSON EXECUTING THIS FORM

DATE

TYPE OR PRINT NAME OF AUTHORIZED PERSON EXECUTING THIS FORM

TITLE OF AUTHORIZED PERSON EXECUTING THIS FORM

#### 8. RETURN TO:

NAME

FIRM

ADDRESS

CITY/STATE

ZIP CODE



**Secretary of State**  
Business Programs Division

1500 11<sup>th</sup> Street, 3<sup>rd</sup> Floor  
Sacramento, CA 95814

Business Entities  
(916) 657-5448

## **EXPANSION OF PRECLEARANCE AND EXPEDITED FILING SERVICES (Effective Monday, October 2, 2006)**

We are pleased to announce that our preclearance and expedited filing services have been expanded to include all business entity documents filed with the Secretary of State pursuant to the California Corporations Code, the California Financial Code or the California Insurance Code. These services, previously offered only for specified corporate documents, are now available for all corporate, limited liability company and limited partnership documents, including interspecies mergers and conversions, and for all other documents filed by other entity types. In addition, the number of copies required to be submitted for the preclearance service has been reduced from two to one.

Preclearance service provides a customer with the opportunity to submit a business entity document in person to the Secretary of State's Sacramento office prior to filing to determine if the document conforms to law and to receive a preclearance response within a guaranteed time frame.

Expedited filing service provides a customer with the opportunity to submit for filing a business entity document in person to the Secretary of State's Sacramento office and to receive a filing response within a guaranteed time frame.

Please refer to the Secretary of State's website at <http://www.ss.ca.gov/business/precexp.htm> for detailed information regarding the preclearance and expedited filing services.

Authority cited: Title 2, California Code of Regulations, sections 21904 and 21905; and Government Code section 12182.

## INSTRUCTIONS FOR COMPLETING THE ALTERNATIVE SECURITY PROVISION (Form LLP-3)

For easier completion, this form is available on the Secretary of State's website at <http://www.ss.ca.gov/business> and can be viewed, filled in and printed from your computer. The completed form along with the applicable fees can be mailed to Secretary of State, Document Filing Support Unit, P.O. Box 944228, Sacramento, CA 94244-2280 or delivered in person to the Sacramento office, 1500 11th Street, 3rd Floor, Sacramento, CA 95814. If you are not completing this form online, please type or legibly print in black or blue ink.

All statutory references are to the California Corporations Code, unless otherwise indicated.

At the time of registration pursuant to Section 16953, in the case of a registered limited liability partnership, and Section 16959, in the case of a foreign limited liability partnership, and at all times during which those partnerships shall transact intrastate business, every registered limited liability partnership and foreign limited liability partnership, as the case may be, shall be required to provide security for claims against it. (Section 16956.) If the limited liability partnership chooses to comply with the optional security requirement pursuant to Section 16956(c), the limited liability partnership must confirm compliance by filing the Alternative Security Provision (Form LLP-3) as follows:

- **Initial Filing:** The initial Alternative Security Provision (Form LLP-3) shall be filed together with the Registered Limited Liability Partnership Registration (Form LLP-1) at the time of registration with the Secretary of State. Statutory filing provisions can be found in Sections 16953(g) or 16959(g) and 16956(c).
- **Annual Confirmation:** The annual confirmation of the Alternative Security Provision (Form LLP-3) shall be filed annually with the Secretary of State within four months of the completion of the fiscal year. A confirmation filed during any particular fiscal year shall continue to be effective for the first four months of the next succeeding fiscal year. Statutory filing provisions can be found in Section 16956(c).

**FEES:** There is no fee for filing the initial filing of the Alternative Security Provision (Form LLP-3). The fee for filing the annual confirmation of the Alternative Security Provision (Form LLP-3) is \$30.00. A \$15.00 special handling fee is applicable for processing documents delivered in person to the Sacramento office. The \$15.00 special handling fee must be remitted by separate check for each submittal and will be retained whether the documents are filed or rejected. The special handling fee does not apply to documents submitted by mail. Check(s) should be made payable to the Secretary of State.

### Complete the Alternative Security Provision (Form LLP-3) as follows:

- Item 1.** Check the provision indicating whether the Alternative Security Provision (Form LLP-3) is being filed as an initial filing or an annual confirmation.
- Item 2.** Enter the file number issued by the California Secretary of State, if any.
- Item 3.** Enter the name of the registered limited liability partnership or foreign limited liability partnership as filed with the California Secretary of State. (Section 16956(b).)
- Item 4.** Enter the jurisdiction (state or place) in which the registered limited liability partnership or foreign limited liability partnership was organized. (Section 16956(b).)
- Item 5.** Enter the complete address, including the zip code, of the principal office. Please do not abbreviate the name of the city. (Section 16956(b).)
- Item 6.** This statement is required by statute and must not be altered. (Section 16956(b).)
- Item 7.** The Alternative Security Provision (Form LLP-3) must be signed by an authorized member of the registered limited liability partnership or foreign limited liability partnership. (Section 16956(c).)
- Item 8.** Enter the name and address of the individual or firm to whom a copy of the filing should be returned.